Micro-Data Reinforcement Learning for Adaptive Robots

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About the speaker

- CEID Graduate: 2009-2014
- PhD in Machine Learning/Robotics (INRIA): 2015-2018
- Post-doc in Robotics (EPFL): 2018-2020
- CEID Adjunct Lecturer: Oct 2020-now
- Metargus: Jan 2021-now







Robotics (1)





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Robotics (1)





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Robotics (2)



¹Boston Dynamics, 2020 ²https://shorturl.at/oryAE

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Robotics - Limitations



¹DARPA Robotics Challenge, 2015

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Animal Adaptation



And showing how life goes on without much change!

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Trial and Error Learning







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Trial and Error Learning





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Problem formulation

Reinforcement Learning (RL) for Robotics

We consider dynamical systems of the form:

$$\boldsymbol{x}_{t+1} = F(\boldsymbol{x}_t, \boldsymbol{u}_t) + \boldsymbol{w}$$
(1)

with $x \in \mathbb{R}^{E}$, $u \in \mathbb{R}^{F}$, i.i.d. Gaussian system noise w, and unknown transition dynamics F.

We seek to find a *policy* π , $u = \pi(x|\theta)$, which maximizes the *expected long-term reward* in as little interaction time as possible (i.e., we want a **data-efficient** algorithm):

$$J(\boldsymbol{\theta}) = \mathbb{E}\left[\sum_{t=1}^{T} r(\boldsymbol{x}_t) \middle| \boldsymbol{\theta}\right]$$
(2)

where $r(x_t)$ is the immediate reward of being in state x at time t.

Micro-Data Reinforcement Learning



Strategies of Micro-Data Reinforcement Learning



for learning robot controllers in a handful of trials", IEEE Transactions on Robotics 2019

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Micro-Data Reinforcement Learning: No prior





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State-of-the-art: Policy Gradient Algorithms

Policy search:

$$\boldsymbol{\theta}^* = \underset{\boldsymbol{\theta}}{\operatorname{argmax}} J(\boldsymbol{\theta}) \tag{3}$$

Stochastic Policy Gradients¹:

$$\nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}) = \mathbb{E} \Big[\sum_{t=0}^{T-1} \nabla_{\boldsymbol{\theta}} \log \pi(\mathbf{u}_t | \mathbf{x}_t, \boldsymbol{\theta}) A_t \Big]$$
(4)

where $A_t = \hat{Q^{\pi}}(\mathbf{x}_t, \mathbf{u}_t)$.

Big variance in the gradient estimation, and thus slow convergence (or even divergence)!

Trust Region Policy Optimization $(TRPO)^2$ and Proximal Policy Optimization $(PPO)^3$ use an extra constraint to reduce the variance and provide monotonic improvement guarantees.

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 ¹Sutton, R., et al. "Policy gradient methods for reinforcement learning with function approximation", NIPS, 2000
 ²Schulman, J., et al. "Trust region policy optimization", ICML, 2015

³Schulman, J., et al. "Proximal policy optimization algorithms", 2017

State-of-the-art: PPO Results



More than 80 hours of simulated training!

¹Schulman, J., et al. "Proximal policy optimization algorithms", 2017

 $^{2} {\rm https://blog.openai.com/openai-baselines-ppo/}$

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Micro-Data Reinforcement Learning: Model-based





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Model-based policy search



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¹Deisenroth, M.P., Neumann, G., Peters, J. "A survey on policy search for robotics", Foundations and Trends in Robotics, 2013

Gaussian Processes



¹Rasmussen, CE. "Gaussian processes in machine learning", 2004

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State-of-the-art: PILCO¹



¹Deisenroth, D., et al. "Gaussian Processes for Data-Efficient Learning in Robotics and Control" IEEE Transaction on Pattern Analysis and Machine Intelligence, 2014 ¹³/₅₅

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Micro-Data Reinforcement Learning: Surrogate Models





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State-of-the-art: Bayesian Optimization



¹Brochu, E., Cora, V.M., De Freitas, N. "A tutorial on Bayesian optimization of expensive cost functions, with application to active user modeling and hierarchical reinforcement learning", 2010

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State-of-the-art: Learning for Damage Recovery Intelligent Trial and Error Algorithm (IT&E)¹





 1 Cully, A. et al. "Robots that can adapt like animals", in Nature, vol. 521, no. 7553, pp. 503–507, 2015

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State-of-the-art: Quadratic Programming-based Control

But we can have analytical models:

$$egin{aligned} M(q)\ddot{q} + C_g(q,\dot{q}) &= S au + J^T(q) W \ J(q)\ddot{q} + \dot{J}(q,\dot{q})\dot{q} &= \ddot{x} \end{aligned}$$
 (5)

And solve an optimization:

$$\begin{split} \min_{\mathcal{X}} &-\frac{1}{2} \mathcal{X}^T \boldsymbol{G} \mathcal{X} + \boldsymbol{g}^T \mathcal{X} \\ \text{s.t.} & \begin{bmatrix} \boldsymbol{M}(\boldsymbol{q}) & -\boldsymbol{S} & -\boldsymbol{J}(\boldsymbol{q})^T \end{bmatrix} \mathcal{X} + \boldsymbol{C}_{\boldsymbol{g}}(\boldsymbol{q}, \dot{\boldsymbol{q}}) = 0 \end{split}$$
(6)

where

$$oldsymbol{\mathcal{X}} = egin{bmatrix} \ddot{oldsymbol{q}} & oldsymbol{ au} & oldsymbol{W} \end{bmatrix}^T$$

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State-of-the-art: QP-based Control (2)



¹LARSEN Inria: https://www.youtube.com/watch?v=-An7Ju3ge01&ab_channel=LarsenInria



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State-of-the-art: Limitations

Learning Methods

Pure episodic approach:

- The robot starts in the same initial state at each episode;
- Learning process separated from operation.

Scaling issues with complex robots:

- Exponentially more data are needed as the dimensionality of state/action space increases;
- Data-efficient approaches do not take advantage of multi-core architectures.

Traditional Methods

Require a lot of tuning:

- Hard to find hyper-parameters;
- Different parameters for each task.

Accurate models required:

- Model-based methods (e.g., QP-control) do not work with in accurate models;
- Difficult to incorporate learning.



Reset-free Trial and Error Learning for Robot Damage Recovery



¹Chatzilygeroudis, K., Vassiliades, V. and Mouret, J. B. "Reset-free Trial-and-Error Learning for Robot Damage Recovery", RAS, 2018.

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Reset-free Trial and Error Learning for Robot Damage Recovery (RTE)





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Learning and correcting the repertoire

MAP-Elites¹ uses a **simulated intact** robot and **requires**:

- A parameterized policy, $\pi_{m{ heta}}$
- An action descriptor, a, that describes the task space
- A performance measure, $\operatorname{performance}(\boldsymbol{\theta})$

It provides:

- A diverse set of locally (with respect to a) optimized policies, A
- A mapping between the task space, (or the set of actions A), and the policy space $\Theta;$ i.e., $A\to\Theta$
- A mapping between actions and relative outcomes, $M: A \rightarrow O$;

We use Gaussian Processes with a non-zero mean function to correct the repertoire:

$$p(f(\boldsymbol{a})|D_{1:t},\boldsymbol{a}) \sim \mathcal{N}(\mu(\boldsymbol{a}),\sigma^{2}(\boldsymbol{a}))$$

$$\mu(\boldsymbol{a}) = M(\boldsymbol{a}) + \boldsymbol{k}^{T}K^{-1}(D_{1:t} - M(\boldsymbol{a}_{1:t}))$$

$$\sigma^{2}(\boldsymbol{a}) = k(\boldsymbol{a},\boldsymbol{a}) - \boldsymbol{k}^{T}K^{-1}\boldsymbol{k}$$
(8)

¹Mouret, J.-B., and Clune, J. "Illuminating search spaces by mapping elites.", 2015.

Planning with MCTS

Monte Carlo Tree Search:

- is a planning algorithm that is:
 - best-first, sample-based, andanytime
- finds optimal decisions
 - by taking random samples, and
 - building a search tree according to their results
- treats as a black-box the model of the environment (handles uncertainty)
- has successfully solved RL problems with:
 - stochastic transitions,
 - continuous state spaces, and
 - high branching factors



https://github.com/resibots/mcts

RTE Overview



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Results: Mobile robot

A velocity-controlled differential drive robot has to learn to navigate again after one of the motors only achieves half of the desired velocity. Performance - Mobile Robot



¹Hester, T., Stone, P. "TEXPLORE: real-time sample-efficient reinforcement learning for robots", Machine learning, 2013

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Simulated hexapod robot



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Results - Simulated hexapod robot





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Results - Simulated hexapod robot (2)



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Results: Simulated hexapod robot (3)







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Video - Physical hexapod robot





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Black-Box Data-efficient Policy Search for Robotics

State-of-the-art: Limitations



¹Chatzilygeroudis, K., Rama, R., Kaushik, R., Goepp, D., Vassiliades, V., and Mouret, J. B. "Black-Box Data-efficient Policy Search for Robotics", IROS, 2017

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Model-based policy search - Reminder



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¹Deisenroth, M.P., Neumann, G., Peters, J. "A survey on policy search for robotics", Foundations and Trends in Robotics, 2013

Black-box Data-efficient RObot Policy Search (Black-DROPS)

Key Idea #1 *Implicit Policy Evaluation*; treat each rollout as a noisy measurement of the *expected long-term reward*:

$$G(\boldsymbol{\theta}) = J(\boldsymbol{\theta}) + N(\boldsymbol{\theta})$$

$$\mathbb{E}[G(\boldsymbol{\theta})] = \mathbb{E}[J(\boldsymbol{\theta}) + N(\boldsymbol{\theta})] = \mathbb{E}[J(\boldsymbol{\theta})] + \mathbb{E}[N(\boldsymbol{\theta})]$$

$$= J(\boldsymbol{\theta}) + \mathbb{E}[N(\boldsymbol{\theta})] (\text{since } \mathbb{E}[\mathbb{E}[x]] = \mathbb{E}[x])$$
(10)

Key Idea #2 Use a black-box optimizer that:

- Is suited for noisy optimization;
- Can take advantage of multi-core computers.

We use a modified version of IPOP-CMA-ES¹ that fulfills the above properties.

¹Hansen, N., Ostermeier, A. "Completely derandomized self-adaptation in evolution strategies", Evolutionary Computation, 2001

Results - Noiseless Cartpole Simulation



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Results - Cartpole Simulation (Scaling)



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Results - Noisy Cartpole Simulation



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Video - Physical Robot Experiments





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But model-based policy search does not scale!!









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Using Parameterized Black-Box Priors to Scale Up Model-Based Policy Search for Robotics

State-of-the-art: Limitations



¹Chatzilygeroudis, K., and Mouret, J.-B. "Using Parameterized Black-Box Priors to Scale Up Model-Based Policy Search for Robotics", ICRA, 2018.

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Priors to the rescue!

We can use dynamic simulators as priors for the dynamics model.



¹Cutler, M., and How, J. P. "Efficient reinforcement learning for robots using informative simulated priors." ICRA, 2015.

²Saveriano, M., Yin, Y., Falco, P., and Lee, D. "Data-Efficient Control Policy Search using Residual Dynamics Learning.", IROS, 2017.

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Model Identification

When equations are available, identifying the parameters from data would be the classic approach, but:

- Assumes the actual system can be fully captured by the available equations;
- Proper excitation of the system required for non-trivial cases.





Gaussian Processes with Parameterized Black-Box Priors

• Let's re-write the equation of our dynamic system:

$$\boldsymbol{x}_{t+1} = \underbrace{M(\boldsymbol{x}_t, \boldsymbol{u}_t, \boldsymbol{\phi}_M) + f(\boldsymbol{x}_t, \boldsymbol{u}_t, \boldsymbol{\phi}_K)}_{F(\boldsymbol{x}_t, \boldsymbol{u}_t)} + \boldsymbol{w}$$
(11)

- Each ϕ_M corresponds to a different parameterization of the mean model M.
- We use GPs to model F and $M(x_t, u_t, \phi_M)$ is the mean function of the GPs; $f(x_t, u_t, \phi_K)$ captures what cannot be captured by the mean function.
- Now we can jointly optimize for ϕ_M and ϕ_K through Maximum Likelihood Estimation (MLE).
- The modeling procedure can balance between non-parametric modeling and model identification (we call it **GP-MI**).



Results - Pendubot Simulation



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Results - Physical Hexapod Robot Experiments





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Real Hexapod Robot Experiments



 1 Cully, A. et al. "Robots that can adapt like animals", in Nature, vol. 521, no. 7553, pp. 503–507, 2015

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Sim2Real Methods





 1 Tobin, J. et al. "Domain Randomization for Transferring Deep Neural Networks from Simulation to the Real World", IROS, 2017

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Sim2Real Methods

Main intuitions:

- Simulators give us the ground truth data;
- Simulators can be fast;
- Simulators are reproducible;
- Simulators allow easy customization.

Main steps:

Domain Randomization (DR)

- For each episode, select a random initialization of the world dynamics;
- Optimize the policy for a few steps (e.g., via RL);
- Create a new episode.
 - DR + IL gives best results...

Imitation Learning (IL)

- Solve/Learn the task in simulation;
- Collect many many variations of the solution;
- Learn the policy via supervised learning.



Imitation Learning using a Simulator





 1 Konstantinos Tsinganos, CEID

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Imitation Learning using a Simulator (2)





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Visual/Realistic Sim2Real





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Combining Learning with Traditional Methods & Exploration











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Quadratic Programming-based Control - Reminder

But we can have analytical models:

$$egin{aligned} M(q)\ddot{q} + C_g(q,\dot{q}) &= S au + J^T(q) W \ J(q)\ddot{q} + \dot{J}(q,\dot{q})\dot{q} &= \ddot{x} \end{aligned}$$

And solve an optimization:

$$\begin{split} \min_{\mathcal{X}} &-\frac{1}{2} \mathcal{X}^T \boldsymbol{G} \mathcal{X} + \boldsymbol{g}^T \mathcal{X} \\ \text{s.t.} & \begin{bmatrix} \boldsymbol{M}(\boldsymbol{q}) & -\boldsymbol{S} & -\boldsymbol{J}(\boldsymbol{q})^T \end{bmatrix} \mathcal{X} + \boldsymbol{C}_{\boldsymbol{g}}(\boldsymbol{q}, \dot{\boldsymbol{q}}) = 0 \end{split}$$
(13)

where

$$\mathcal{X} = egin{bmatrix} \ddot{m{q}} & m{ au} & m{W} \end{bmatrix}^T$$

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Combining QP-control with Learning Inverse Dynamics:

$$egin{aligned} S & au = M(q) \ddot{q} + C_g(q, \dot{q}) - J^T(q) W \ & au = M(q) \ddot{q} + C_g(q, \dot{q}) - J^T(q) W \end{aligned}$$

Learning Inverse Dynamics Models:

$$\tau = f_{\text{joint}}(q, \dot{q}, \ddot{q}_{\text{desired}})$$
(16)
$$f_{\text{joint}}(q, \dot{q}, \ddot{q}_{\text{desired}}) = f_{\text{analytic}} + e_{\text{joint}}(q, \dot{q}, \ddot{q}_{\text{desired}})$$
(17)

But e_{joint} needs to be linear wrt $\mathcal{X} = \begin{bmatrix} \ddot{q}_{\text{desired}} & \boldsymbol{\tau} & \boldsymbol{W} \end{bmatrix}^T$! Let's linearize: We are in a state $(\boldsymbol{q}_t, \dot{\boldsymbol{q}}_t, \ddot{\boldsymbol{q}}_t)$ and we take the first two terms of the Taylor series expansion of $e_{\text{joint}}(\cdot, \cdot, \cdot)$:

$$\begin{aligned} f_{\mathsf{learned}}(\boldsymbol{q}_{t}, \dot{\boldsymbol{q}}_{t}, \ddot{\boldsymbol{q}}_{t+1}) &= f_{\mathsf{analytic}}(\boldsymbol{q}_{t}, \dot{\boldsymbol{q}}_{t}, \ddot{\boldsymbol{q}}_{t+1}) + e_{\mathsf{joint}}(\boldsymbol{q}_{t}, \dot{\boldsymbol{q}}_{t}, \ddot{\boldsymbol{q}}_{t}) \\ &+ (\ddot{\boldsymbol{q}}_{t+1} - \ddot{\boldsymbol{q}}_{t}) \frac{\partial e_{\mathsf{joint}}(\boldsymbol{q}, \dot{\boldsymbol{q}}, \ddot{\boldsymbol{q}})}{\partial \ddot{\boldsymbol{q}}} \Big|_{\substack{\boldsymbol{q} = \boldsymbol{q}_{t} \\ \dot{\boldsymbol{q}} = \dot{\boldsymbol{q}}_{t}}}_{\substack{\boldsymbol{q} = \dot{\boldsymbol{q}}_{t} \\ \ddot{\boldsymbol{q}} = \dot{\boldsymbol{q}}_{t}}} \end{aligned} \tag{18}$$

Combining QP-control with Learning (2)

Algorithm Self-correcting QP

- 1: Design the task specifications: $\boldsymbol{x}_{d}^{*}(t)$
- 2: Configure the adaptive controller and the model learning procedure
- 3: for $n = 1 \rightarrow N_{\text{episodes}}$ do
- for $t = 0 \rightarrow T$ do 4

- \triangleright For each episode
- \triangleright For each time-step
- Get $\ddot{\boldsymbol{x}}_r^*$ from adaptive controller 5:
- Compute the cost function for the QP given the \ddot{x}_{r}^{*} 6:
- Get au from the QP with the updated cost function, and the 7: learned model $f_{\text{learned}}(\boldsymbol{q}, \boldsymbol{\dot{q}}, \boldsymbol{\ddot{x}}_{r}^{*})$
- Apply au to the robot and collect data 8:
- Update the adaptive controller 9:
- Inverse dynamics model learning 10:

Combining QP-control with Learning (3)

Before Learning





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Combining QP-control with Learning (4)

After Learning





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Combining QP-control with Learning (5)

Learning on a physical robot





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Discovering interesting behaviors using exploration

Go-Explore: a New Approach for Hard-Exploration Problems



¹Ecoffet, A. et al. "First return, then explore", Nature, 2021



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¹Ecoffet, A. et al. "First return, then explore", Nature, 2021



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Discovering interesting behaviors using exploration (2)





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Discovering interesting behaviors using exploration (3)





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Automated Sports Player Tracking and Statistics





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Thank you! Any questions?

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